PATENT Customer No. 22,852 Attorney Docket No. 5725.0568-01

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:	*
Nathalie MOUGIN	Prior Group Art Unit: 1617
Application No.: Unassigned (Divisional Application of Application No. 09/544,394, filed April 5, 2000)	Prior Examiner: L. Wells
Filed: November 26, 2003	
For: COMPOSITION COMPRISING POLYMERS HAVING A STAR STRUCTURE, THE POLYMERS, AND THEIR USE	
Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450	

Sir:

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(b)

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(b), Applicant brings to the attention of the Examiner the documents listed on the attached PTO 1449. This Information Disclosure Statement is being filed concurrently with the above-referenced application.

Copies of the listed documents were previously submitted or cited by the Examiner in prior Application No. 09/544,394, filing date April 5, 2000, upon which Applicant relies for the benefits provided in 35 U.S.C. § 120. Applicant respectfully requests that the Examiner consider the listed documents and indicate that they were considered by making appropriate notations on the attached form.

FINNEGAN HENDERSON FARABOW GARRETT & DUNNER LP

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Application Serial No.: Divisional Application of 09/544,394 Attorney Docket No. 5725.0568-01

Any documents not previously submitted or cited by the Examiner in the prior application, are enclosed with this Information Disclosure Statement (see e.g. items 7, 13, 26, 31, 33, 39) listed on the PTO Form 1449).

Applicant respectfully requests that the Examiner consider the listed documents and indicate that they were considered by making appropriate notations on the attached form.

Additionally, Applicant would like to bring to the Examiner's attention the following copending applications, which all relate to similar subject matter:

1. **Title:** COMPOSITION COMPRISING POLYMERS

HAVING A STAR STRUCTURE, THE

POLYMERS, AND THEIR USE

By: Nathalie MOUGIN

Filed: May 7, 2002 U.S. Application No.: 10/139,530 Attorney Docket No.: 05725.0564-01

2. **Title:** COMPOSITION COMPRISING POLYMERS

HAVING A STAR STRUCTURE, THE

POLYMERS, AND THEIR USE

By: Nathalie MOUGIN January 17, 2003

U.S. Application No.: 10/345,977 **Attorney Docket No.:** 05725.0565-01

3. Title: COMPOSITION COMPRISING POLYMERS

HAVING A STAR STRUCTURE, THE

POLYMERS, AND THEIR USE

By: Nathalie MOUGIN September 20, 2002

U.S. Application No.: 10/247,362 **Attorney Docket No.:** 05725.0571-01

4. Title: COMPOSITION COMPRISING POLYMERS

HAVING A STAR STRUCTURE, THE

POLYMERS, AND THEIR USE

By: Nathalie MOUGIN September 8, 2003

U.S. Application No.: 10/656,238 **Attorney Docket No.:** 05725.0570-01

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Application Serial No.: Divisional Application of 09/544,394 Attorney Docket No. 5725.0568-01

Applicant notes that co-pending applications 1-3, above and listed on the Form

PTO-1449, were previously submitted with and listed on the Information Disclosure

Statement filed in the parent application, but were not listed on a Form PTO-1449.

Accordingly, Applicant has listed the co-pending applications on the attached Form

PTO-1449.

This submission does not represent that a search has been made or that no

better art exists and does not constitute an admission that each or all of the listed

documents are material or constitute "prior art." If the Examiner applies any of the

documents as prior art against any claim in the present application and Applicant

determines that the cited documents do not constitute "prior art" under United States

law, Applicant reserves the right to present to the Patent Office the relevant facts and

law regarding the appropriate status of such documents.

Applicant further reserves the right to take appropriate action to establish the

patentability of the disclosed invention over the listed documents, should one or more of

the documents be applied against the claims of the present application.

If there is any fee due in connection with the filing of this Statement, please

charge the fee to our Deposit Account No. 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,

GARRETT & DUNNER, L.L.P.

Dated: November 26, 2003

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-3-

INFORMATION DISCLOSURE CITATION

Atty. Docket No.:	5725.0568-01	Appln. No.:	U.S. Divisional Application of 09/544,394
Applicant:	Nathalie MOUGIN		
Filing Date:	November 26, 2003	Prior Group Art Unit:	1617

	FOREIGN PATENT DOCUMENTS							
		Document Number	Publication Date	Country	Class	Sub Class	Translation Yes or No	
	27	DE 43 28 004	02/23/95	GERMANY			Abstract	
	28	DE 196 02 540	07/31/97	GERMANY			Abstract	
	29	EP 0 557 196	8/25/1993	Europe			Abstract	
	30	EP 0 639 371	2/22/1995	Europe			Abstract	
	31	EP 0 704 477	4/3/1996	Europe			Abstract	
	32	WO 86/00626	01/30/86	PCT				
	33	WO 96/17886	6/13/1996	PCT			Abstract	
	34	WO 96/33690	10/31/1996	PCT				
	35	WO 96/36323	11/21/1996	PCT				
, <u>.</u>	36	WO 97/18247	05/22/97	PCT			· a ·	

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)				
37	Co-pending Application No. 10/139,530; Attorney Docket No. 05725.0564-01 Title: COMPOSITION COMPRISING POLYMERS HAVING A STAR STRUCTURE, THE POLYMERS, AND THEIR USE Inventors: Nathalie MOUGIN U.S. Filing Date: May 7, 2002			
38	Co-pending Application No. 10/345,977; Attorney Docket No. 05725.0565-01 Title: COMPOSITION COMPRISING POLYMERS HAVING A STAR STRUCTURE, THE POLYMERS, AND THEIR USE Inventors: Nathalie MOUGIN U.S. Filing Date: January 17, 2003			
39	Co-pending Application No. 10/656,238; Attorney Docket No. 05725.0570-01 Title: COMPOSITION COMPRISING POLYMERS HAVING A STAR STRUCTURE, THE POLYMERS, AND THEIR USE Inventors: Nathalie MOUGIN U.S. Filing Date: September 8, 2003			
40	Co-pending Application No. 10/247,362; Attorney Docket No. 05725.0571-01 Title: COMPOSITION COMPRISING POLYMERS HAVING A STAR STRUCTURE, THE POLYMERS, AND THEIR USE Inventors: Nathalie MOUGIN U.S. Filing Date: September 20, 2002			

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	OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)				
41	English Language Derwent Abstract of DE 43 28 004				
42	English Language Derwent Abstract of DE 196 02 540				
43	English Language esp@cenet Abstract of EP 0 557 196				
44	English Language esp@cenet Abstract of WO 96/17886				
45	English Language esp@cenet Abstract of EP 0 704 477				
46	Seiya Kobatake et al., "Synthesis of Nitroxy-Functionalized Polybutadiene by Anionic Polymerization Using a Nitroxy-Functionalized Terminator", Macromolecules, Vol. 30, No. 14, July 14, 1997, pp. 4238-4241				
47	Product Information for Styrolux 684D (09-1998) available from the BASF Company at www.basf.de (last checked 04-1-2002)				
48	"Polymer Chemistry," second edition, 1988 Seymour et al., Marcel Dekker, Inc., pp. 354-358				
49	"Polymer Handbook," third edition, 1992 John Willey and Sons, Brandrup et al., p. II-193				

Examiner Date 0		Date Considered
		e considered, whether or not citation is in conformance with MPEP 609; draw line if not in conformance and not considered. Include copy of this form with next to applicant.
Form PTO 14	49	Patent and Trademark Office - U.S. Department of Commerce

INFORMATION DISCLOSURE CITATION

Atty. Docket No.:	5725.0568-01	Appln. No.:	U.S. Divisional Application of 09/544,394
Applicant:	Nathalie MOUGIN		
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	U.S. PATENT DOCUMENTS						
Examiner Initial*		Document Number	Issue Date	Name	Class	Sub Class	Filing Date If Appropriate
	1	3,907,984	Sep 1975	Calvert et al.	424	47	
	2	4,659,783	April 1987	Spinelli	525	293	
	3	5,221,534	June 1993	DesLauriers et al.	424	78.03	,
	4	5,310,807	May 1994	Antonelli et al.	525	286	
	5	5,362,813	Nov 1994	Antonelli et al.	525	286	
	6	5,371,147	Dec 1994	Spinneli et al.	525	288	
	7	5,525,636	June 1996	Henn et al.	521	59	
	8	5,527,524	June 1996	Tomalia et al.	424	1.33	
	9	5,552,491	Sep 1996	Mishra et al.	525	299	
	10	5,807,937	Sep 1998	Matyjaszewki et al.	526	135	
	11	5,849,278	Dec 1998	Piot et al.	424	70.7	
	12	5,804,664	Sep 1998	Kennedy et al.	525	314	
	13	5,900,464	May 1999	Letchford et al.	525	94	
	14	5,919,442	July 1999	Yin et al.	424	78.18	
	15	5,986,020	Nov 1999	Campbell et al.	526	64	
	16	6,001,342	Dec 1999	Forestier et al.	424 ·	76.1	
	17	6,013,735	Jan 2000	Mishra et al.	525	299	
	18	6,024,948	Feb 2000	Samain et al.	424	70.16	
	19	6,090,902	July 2000	Kuo et al.	526	279	
	20	6,113,882	Sep 2000	Mougin et al.	. 424	47	
	21	6,124,411	Sep 2000	Matyjaszewski et al.	526	111	
	22	6,132,736	Oct 2000	Mellul et al.	424	401	
	23	6,139,827	Oct 2000	Cohen et al.	424	70.16	
	24	6,150,468	Nov 2000	Schoenberg et al.	525	222	
	25	6,476,124	Nov 2002	Mougin	525	64	
	26	6,552,146	April 2003	Mougin	526	319	